



MARTIN, BROWN, SULLIVAN,
ROADMAN & HARTNETT, LTD.

ATTORNEYS AT LAW



WILLIAM G. SULLIVAN

Extensive Experience in:

- Complex Litigation
- Chancery Proceedings
- Mediation/Arbitration
- Administrative Law

Practice Description

Mr. Sullivan tries cases. His practice is divided almost equally between representation of plaintiffs and defendants. He is a trial lawyer whose trial practice has touched upon many different substantive areas of the law. He has represented defendants in civil and criminal securities and antitrust cases. Mr. Sullivan has served as plaintiff's counsel in civil RICO cases. Mr. Sullivan has also served as defendant's counsel in civil and criminal RICO cases. Mr. Sullivan, as plaintiff's or defendant's counsel, litigated more than 100 contested hearings involving temporary restraining orders and preliminary injunctions. Mr. Sullivan has served as plaintiff's counsel in dozens of civil rights actions, including class actions. Mr. Sullivan has successfully prosecuted dozens of civil fraud cases. Mr. Sullivan represents corporations and individuals. Mr. Sullivan is a trial lawyer in both the federal and state court systems; he tries jury and bench trials.

Notable Cases and Results

PolyBrite International v. Paul Brenner, et al.

Case No. 08 C 7917 (N.D. IL) Represented PolyBrite in a lawsuit against three former employees, including its chief operating officer. The action arose out of allegations that the employees schemed to steal the company's technical expertise and confidential information to compete against it. Two of the defendants formed a corporation in Hong Kong to sell a competing line of products. An ex parte TRO and preliminary injunctive relief were granted against all three defendants. Ultimately, seven figure judgments were granted as to two of the defendants. Final injunctive relief was granted to all three defendants.

DeLafont v. Beckelman

Case No. 02 C 5448 (N.D. IL) Jury trial in October-November, 2005. Represented two state officers who were defendants in a civil rights action. Defendants were sued for in excess of one million dollars for allegedly manufacturing evidence, and other wrongful conduct, in relation to an investigation of child molestation. After a two week jury trial, verdict returned for defendants and against all five plaintiffs.

Securities and Exchange Commission v. Dean L. Buntrock, et al.

Case No. 02 C 2180 (N.D. IL) Represented former senior vice-president and secretary of Waste Management in SEC enforcement action in which client was alleged to have violated or aided and abetted violation of Section 13(a) of Securities Act of 1933, Sections 10(b) and 13(a) of Securities Act of 1934 and Exchange Act rules 10b-5. SEC alleged systematic scheme to misrepresent Waste Management's financial results by overstating profits by \$1.7 billion.

Travelers Indemnity Company of Illinois v. Bruce Farmer d/b/a Hills Custom Roofing, et al.

No. 03 C 4708 (N.D. IL) Represented insurance company in action against corporate defendant and its owner on theories of breach of contract and fraud. In April, 2005, a jury returned a verdict against company and its owner, awarding plaintiff \$835,856. In addition, jury awarded plaintiff a \$250,000 award of punitive damages against the company's owner, James Farmer.



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Travelers Indemnity Company of Illinois v. Midland Logistics

No. 00 C 2202 (N.D. IL) Represented plaintiff in breach of contract case alleging defendants failed to pay insurance premiums. Case tried before a jury in June, 2004. Verdict returned in favor of plaintiff in amount of \$417,491.

Mattenson v. Baxter Healthcare Corporation

Case No. 02 C 3283 (N.D. IL) Tried two week jury trial (November, 2003) representing a discharged patent attorney who sued on a theory of age discrimination. Jury verdict in favor of client. Judgment in excess of \$1,000,000 for back pay; this was the largest back pay award for age discrimination case in history of court. Jury found that Baxter's actions were "wilful," resulting in doubling of jury verdict.

United States v. Bailin

Case No. 05 CR 48 (S.D. N.Y.) Criminal insider trading, false audit confirmations;
SEC v. Bailin, Case No. 05 CV 286 (S.D. N.Y.) Parallel SEC civil enforcement action.

Prime Leasing, Inc. v. Equipment Leasing Specialists, Inc., et al.

Case No. 97 C 3886 (N.D. IL) Represented lender against borrowers who owned and operated medical imaging centers throughout the United States. Sued companies and principals on various theories ranging from breach of contract, conspiracy and fraud. Obtained recovery of \$8.3 million.

Dupuy v. Samuels

Case No. 97 C 4199 (N.D. IL) Appointed to serve as special assistant attorney general and lead trial counsel representing Director of the Illinois Department of Children and Family Services in a class action that challenges the constitutionality of the state's investigation and adjudicative process as it relates to investigations of child abuse and neglect.

Author of the following statutes:

- Travel Promotion Consumer Protection Act, 720 ILCS 350/1
- Illinois Burial Rights Act, 820 ILCS 135/1
- Aggravated Stalking Law, 720 ILCS 5/12-7.4

Bar Admissions

Illinois Bar

United States Supreme Court

United States Courts of Appeals for the Fifth, Seventh and Eleventh Circuits

General Bar and Trial Bar of the United States District Court for the Northern District of Illinois

United States Tax Court

Year of Birth

1949



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Law School

IIT/Chicago-Kent College of Law

Law School Honors/Involvement

J.D. with Honors, 1978

Undergraduate School

Northern Illinois University, B.S.

Employment History

Office of the Illinois Attorney General

- Trial Attorney, Special Litigation Division
- Chief, General Law Division
- Chief, Consumer Protection Division
- Chief, Toxic Waste Strike Force

Bar/Professional Association Involvement

Illinois Bar Association

Chicago Bar Association

American Bar Association

Federal Bar Association (Member, Board of Directors)

Other Affiliations

Marquette Bank, Chicago, Illinois

Director, 1994 to present

Asset/Liability Committee (Chair)

Member, Trust Committee, (Chair 2004-2009)

Marquette Bank Foundation, Director, 2004 to present

Marquette National Corporation, Board of Directors, 2008 to present

Member, Audit Committee

Contact Mr. Sullivan

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